

YOUTH IN FOCUS PROJECT DISCUSSION PAPER SERIES No. 1, September 2007

User's Guide to the Youth in Focus Data Version 1.0

Robert Breunig Deborah Cobb-Clark Tue Gørgens Anastasia Sartbayeva

youthinfocus.anu.edu.au



Jointly funded by the Australian Government Department of Families, Community Services and Indigenous Affairs and the Australian Research Council and carried out by The Australian National University

Youth in Focus Project Discussion Paper Series ISSN 1835-4025

Youth in Focus Research School of Social Sciences ANU College of Arts and Social Sciences Coombs Building 9 The Australian National University Canberra ACT 0200

Table of Contents

Uverview	5
1. Introduction	6
1.1. Project organisation and partners	6
1.2. Project motivation	
1.3. Main research questions	8
1.4. Data sources	
1.5. Data management, access and security	9
2. Reference populations and project terminology	10
2.1. Birth cohort and focal youth	10
2.2. Parents	10
2.3. Observational unit	11
2.4. Data collection unit	11
3. Administrative data and sampling frame	11
3.1. The administrative database (TDS2)	11
3.2. TDS2 and the Australian population	
3.3. Currency of contact information	13
4. Survey design	14
4.1. Data collection mode	14
4.2. Interview frequency	14
4.3. Payment to respondents	14
4.4. Sample stratification	14
4.5. Sample size	16
4.6. Expected sample size in future waves	
5. Survey instruments	16
6. Fieldwork	17
6.1. Contracting of market research firm	17
6.2. Pre-test	17
6.3. Interviewer training	18
6.4. Wave 1 pilot	18
6.5. Wave 2 and wave 3 pilots	
6.6. Pilot opt-out process	18
6.7. Privacy and confidentiality issues	19
6.8. Wave 1 pilot outcomes	19
6.9. Wave 1 sample	19
6.10. Wave 1 opt-out process	19
6.11. Wave 1 data gathering procedure	22

6.12. Interview length and outcomes of self-completion questionnaire	23
6.13. Timeline	24
6.14. Payment to respondents	24
6.15. Foreign language interviews	24
6.16. Refusal conversion and follow-up	24
6.17. Interviewer monitoring and complaint handling protocol	
6.18. Wave 1 contact outcomes	
6.19. Data linkage	
6.20. Tracking and tracing	
6.21. Waves 2 and 3	27
7. Survey dataset	27
7.1. Variable naming conventions	27
7.2. Labeling	
7.3. Survey datafiles characteristics	29
Appendix A. YIF questionnaires summary	30
A1. Parent questionnaire	30
A2. Youth questionnaire	31
A3. Youth self-completion questionnaire	33
Appendix B. Additional information on wave 1 pilot	34
B1. Items tested in pilot	34
B2. Pilot response rates	
Bibliography	36
List of tables	
Table 1. Number of young people in TDS2 and the Australian population	13
Table 2. Proportion of people across income support stratification categories	
Table 3a. Wave 1 sample and mailout structure for focal youth	21
Table 3b. Wave 1 sample and mailout structure for parents	21
Table 4a. Wave 1 focal youth outcomes	25
Table 4b. Wave 1 parent outcomes	
Table 5. Respondents' consent to data linkage, per cent of total	26
Table 6. Survey dataset introductory variable description	28
Table 7. Survey datafiles characteristics	29
Table B2. Pilot response rates	35

Overview

This paper provides important background information regarding the Youth in Focus project, and the associated survey and administrative data. We outline the motivation behind the project, the primary research questions that the project is intended to address, the funding sources, and the composition of the project research team.

Furthermore, we provide detailed information on the methodology of the project. This includes the data sources, the survey sampling frame, the sampling methodology, a brief description of the survey instruments, and the proposed timeline of fieldwork. We describe the pilot study that was conducted, the use of respondent payments in the project, the survey outcomes from the pilot and the first wave of data collection.

1. Introduction

1.1. Project organisation and partners

Youth in Focus (YIF) is a joint research project between the Australian Government Department of Families, Community Services and Indigenous Affairs (FaCSIA) and a team of academic researchers. The research team includes Professor Deborah Cobb-Clark, Dr Robert Breunig and Dr Tue Gørgens of the Australian National University (ANU), Professor Jeff Borland of the University of Melbourne, Professors Barbara Wolfe and Robert Haveman of the University of Wisconsin-Madison, and Ms Jocelyn Pech of the Australian Fair Pay Commission Secretariat (previously with the Department of Family and Community Services).

The YIF project is supported by a five-year Linkage-Project grant from the Australian Research Council (ARC).¹ In addition to its initial commitment of resources for the project, FaCSIA has provided support for background research relevant to the project. Centrelink has given in-kind support by providing staff time and expertise for work related to building the administrative data set. The ANU is the lead institution and has primary responsibility for coordinating the work of the research team, FaCSIA, and Roy Morgan Research Pty Ltd (RMR) – the market research firm conducting the survey – and for reporting to the ARC.

1.2. Project motivation

The overarching goal of the Youth in Focus project is to understand the ways in which economic and social disadvantage might be transferred from one generation to the next. The project uses an innovative combination of survey and administrative data to explore some of the consequences for young Australians of growing up in disadvantaged families. Our focus is on outcomes in the early adult years when young people are moving into higher education, entering the labour market, starting families, and generally establishing themselves as independent adults. Developing a fuller understanding of reasons why disadvantaged youth succeed – or fail to succeed – is a necessary first step in formulating sensible policies targeted towards breaking any cycle of dependence and promoting the social and economic independence of Australian youth.

In particular, it is well-established that individuals who grow up in families that are dependent upon income support are themselves much more likely to be dependent upon income support as adults.² At the same time, the majority of children who grow up in income-support dependent families are not reliant upon income support

¹ARC Linkage Project LP0347164 entitled 'The Intergenerational Transmission of Dependence on Income Support: Patterns, Causation and Implications for Australian Social Policy Research'.

² See, for example, Pech and McCoull (1998) and McCoull and Pech (2000) for Australian evidence and Solon et. al. (1991), Gottschalk (1996), Haveman and Wolfe (1995), Israel and Seeborg (1998), and Haveman et. al. (2001) for international evidence.

as adults. An important objective of the project is identifying the factors which contribute to or prevent the need for social assistance amongst young adults

Although the association between growing up in an income-support dependent family and reliance upon social assistance as an adult are well-established, there has been only limited research on assessing which factors underlie this relationship. A lack of educational qualifications, early childbearing, poor health and disability all contribute to reducing a young person's labour market opportunities and increasing the chances of needing social assistance.³ Determining the causal effect of these risk factors is difficult, since while all can cause dependence on income support, each may also result from a history of dependence on income support.

Previous studies have faced two major empirical challenges. Most studies have been based upon a population of disadvantaged individuals receiving support from a particular government program. These studies have not had a comparison group of program non-participants to provide a compelling counterfactual to answer questions such as 'does dropping out of school early make youth in welfare-dependent families more likely to access social assistance as adults than youths from non-welfare-dependent families who drop out of school early?' The second difficulty that previous studies have faced is the lack of complete administrative data about program participation. These studies have had to rely on individuals' own reports of income-support receipt and have usually compared two particular points in time – one during an individual's youth and one in adulthood. In addition to raising measurement issues that restrict the possible observable associations and complicate interpretation,⁴ such studies are unable to say anything about the importance of timing or intensity of exposure to social assistance.

This project is designed to address and overcome the weaknesses of previous studies. By using a combination of administrative data going back to 1991 and survey data gathered from both parents and children, issues of timing, intensity, and incidence of disadvantage can be studied while controlling for a range of background and demographic factors. The nature of the Australian payments system, managed by Centrelink, provides a population sampling frame of young Australians who grew up in a range of family circumstances. In particular, the same administrative database used to manage income-support payments is also used to manage childcare subsidies (which are not means tested) and tax rebates for dependent children (which are means tested and not paid to the top 15 per cent (approximately) of the income distribution). Thus this data source from which the survey sample is drawn provides consistent administrative data not only for disadvantaged families, but also for a large comparison group of middle and upper-middle income families.

³ See Haveman et. al. (2001).

⁴ See An et. al. (1996).

1.3. Main research questions

The data generated by the project will be the foundation for research on a wide variety of issues including youth health, education and employment, as well as the influence of intergenerational factors on young people's socio-economic outcomes. The overarching goal of the YIF project is to understand the consequences of growing up in disadvantage on economic, social, and demographic outcomes in early adulthood.

To this end, the research project has two main objectives. The first objective is to describe the overall correlation between parents' and young adult children's outcomes. The second objective is to investigate the causal mechanisms behind these relationships, paying particular attention to the intergenerational correlation in income-support receipt. The literature points to several mechanisms through which disadvantage might be passed from one generation to the next however, little is known about their relative importance. Identifying which mechanisms are most important in accounting for the intergenerational correlation in disadvantage is a necessary first step in formulating evidence-based policies targeted towards breaking any cycle of welfare dependence.

Data collection is designed to focus on four possible transmission mechanisms: low educational attainment, early fertility, poor health and/or disability, and attitudinal factors. All of these may depend upon the socio-economic status and income-support history of a young person's family and may affect a youth's future receipt of income support. Information gathered from matched pairs of youths and parents, lengthy administrative data, and a medium-length panel survey provide the mechanisms by which these research questions may be addressed.

1.4. Data sources

There are two data sources for the project. The first is administrative data collected by the Australian Government (Centrelink) from all recipients of (and applicants for) any type of government payment. The second data source is a survey of a sample of individuals selected from this administrative data specifically for the YIF project.

The administrative data contain information about most payments from the Australian Government to Australian families. It is believed to be nearly representative of the entire Australian population, with the exception of families with very high income. According to FaCSIA estimates, approximately 85 per cent of families with children receive Family Tax Benefit and therefore appear in the administrative data.⁵ A smaller number of families receive additional support primarily due to low income. (Disability status could be a reason for income-support receipt unrelated to low income.) This support may take the form of unemployment

_

⁵ Tax benefits for dependent children can take the form of a tax deduction or of a bi-weekly payment direct to families. The vast majority of families in Australia who are eligible for Family Tax Benefit opt for the bi-weekly payment.

benefits, payments to single parents with low income, payments to couples with young children and low income, and a variety of less common payments.⁶ Since the administrative data include families who received substantial income support as well as families who received only the tax benefit, the administrative data provide an excellent basis for studying the correlation between parents' and children's receipt of income support from a combined sample of recipients and non-recipients.

The survey is designed to collect a variety of information from a random sample of families who have appeared in the administrative data at least once since 1991. The survey design is based around a birth cohort of youth who turned 18 just before wave 1 interviewing (see Section 2.1 below). For each youth, we identified all individuals who received any type of payment on behalf of that youth in the history of the administrative data. From these individuals, who we henceforth refer to as 'parents', we selected the person who had the longest duration of care (as measured by receiving a payment on behalf of the youth or claiming the youth as a dependent) over the history of the administrative data set. We further implemented a set of rules designed to identify the natural mother from the administrative data. Although the administrative data do not contain information on the actual family relationships, the chosen method proved extremely successful in identifying the natural parents. Among wave 1 respondents, a natural mother was selected in 96.5 per cent and a natural parent in 98.6 per cent of cases.

The parents were interviewed once and at the time of writing we anticipate that the children will be interviewed approximately annually for three waves. Respondents were asked to provide information on topics such as employment, education, physical and mental health, attitudes and values, family relationships and other psycho-social factors, the children's experiences while growing up, neighbourhood and school quality.

With the respondents' consent, the survey data will be linked to the administrative data, and the linked data will be the basis for studying the causal mechanisms.

1.5. Data management, access and security

Due to the sensitivity of the confidential data contained in the administrative dataset, its access at the ANU is restricted to a secure data room. The secure data room and procedures for using the data in it incorporate a range of measures designed to protect the data. This room and the procedures are regularly audited and inspected. It is anticipated that the survey data will be made accessible in the future to other interested researchers, together with a summary of information from the administrative dataset.

The main management of the project is done through the project steering committee. This committee meets several times per year and is composed of members of the

-

⁶ See Centrelink (2007).

project research team and representatives from FaCSIA. This committee is responsible for dissemination of the data, designing conditions of use for the data, and approving applications to use the data.

2. Reference populations and project terminology

2.1. Birth cohort and focal youth

The primary reference population for the main wave of the YIF survey is all people born between 1 October 1987 and 31 March 1988 who appear in the Centrelink administrative data at any point between 1991 and July 2006. We will refer to this group as the 'main birth cohort'. In addition, there is a smaller group of young people born in July 1987 and January 1988 only, which is used in the pilot stages of the survey.⁷ We will refer to these young adults as the 'pilot birth cohort'. For both cohorts, the selected young person in each family will be referred to as the 'focal youth'.

There are two ways that a youth can appear in the administrative data. One is if the youth has received a payment, such as Youth Allowance, directly from the Australian government in his/her own name. The other is that the youth has been listed as the dependent child of another individual for the purpose of obtaining eligibility and amount of payment such as Family Tax Benefit or Parenting Payment.

For the survey purposes, certain focal youths are considered out-of-scope (for details, see Section 6.11).

2.2. Parents

The secondary reference population includes all adults who, at any point since 1991, have received government payments for the focal youth, or who had the focal youth registered as their dependent for payment purposes. The vast majority of cases will be where the adult received Family Tax Benefit (previously Family Allowance), which is the annual tax benefit to help families with the costs of raising children. The adult in question may be a birth parent, an adopted parent, a foster parent, an older sibling, a relative, or a non-relative who cared, or is caring for the focal youth. Although the family relationship between the focal youth and these adults is not identifiable from the administrative data, we will refer to these people as 'parents'.

Due to the construction of the parent subset of the administrative data, a focal youth may have several parents or guardians. For the purposes of the survey, only one parent was selected, the criterion being the longest duration of care (payment receipt) for the focal youth. By looking at the gender of parents and the timing of receipt from the administrative data, we attempted to select the natural mother whenever

⁷ The two months were originally selected for use in the pilot to test for any differences in the contactability of focal youth. The pilot has shown no significant difference in response rates.

possible. As mentioned previously, this methodology resulted in the natural mother being selected in 96.5 per cent, and a natural parent being selected in 98.6 per cent of cases.

As with focal youth, certain parents are considered out-of-scope (for details, see Section 6.11).

2.3. Observational unit

Most focal youths have a parent in the administrative dataset. However, in some cases there is no parent. This may happen if the parent(s) are deceased or if the focal youth is in the administrative data as a recipient of Youth Allowance and his/her parent(s) have never received any type of Centrelink payment.

In cases where both the focal youth and a parent are available in the administrative data, the matched focal youth-parent twosome will be referred to as the 'observational unit'. In cases where there is no parent record, the observational unit is the focal youth. Each observational unit, therefore, has either one or two members, depending upon whether there is a record for a parent. Note that the observational unit is not necessarily a complete family nor a household. The proportion of one person (focal youth only) observational units in the administrative data is 1.7 per cent, with the two person (focal youth and parent) observational units constituting the overwhelming majority at 98.3 per cent.

2.4. Data collection unit

The data collection unit is the individual. Different instruments are used for data collection from parents and youths, as detailed below.

3. Administrative data and sampling frame

3.1. The administrative database (TDS2)

The frame for this study is an administrative database (referred to as TDS2⁸) built from Centrelink payment records. Centrelink represents a one-stop shopfront for receipt of almost all government benefits including parenting and family payments, unemployment benefits, old-age and disability pensions, and provides an excellent sampling frame because most transfer payments are administered through this one agency at the national level.

All focal youth who appeared in the Centrelink payment records at any time since 1991 are included in this database. A focal youth may appear in the administrative data for two reasons: (i) they have claimed in their own right Centrelink payments,

⁸ The first Transgenerational Dataset (TDS1) is a database that was created by FaCSIA in 1996 and informed the construction of TDS2.

such as Youth Allowance or disability payments; or (ii) at some point since 1991, they were listed as a dependent of an adult who has received a government payment. Any adult who claimed payments at any time for the focal youth is also included and is referred to as a parent. As mentioned earlier, the relationship between parent and the focal youth is an administrative one and thus the adult may not be a natural parent.

In addition, the dataset includes 'siblings', identified as other children for whom the parents of the focal youths also claimed payments. Again, these siblings may have no blood relation to the focal youth. Sibling records are linked to the parent records and through the parent to the focal youth records.

For all people in the administrative dataset who received payments in their own right, the following information is provided:9

- Personal details: date of birth, sex, marital status history, country of birth;
- Housing details: home postcode history, accommodation history, rent type;
- Youth homeless or independent¹⁰ history;
- Full time student history;
- Family income-support history;
- Marital status history;
- Individual income-support history (payment types and dates of receipt).

The entire observational unit was eliminated from the frame if the administrative data identified any member of the observational unit as falling into one of the following categories:

- Their administrative record is marked 'Deny Access', which is a facility available to people seeking additional protection of personal information held by Centrelink, for instance, where there has been or is a potential fear of domestic violence;
- They have indicated refusal to participate in any surveys;
- Focal youth is deceased.

3.2. TDS2 and the Australian population

The numbers of focal youth present in the administrative data can be compared against other available statistics on young people born between 1 October 1987 and 31 March 1988. Table 1 shows the number of youth records in the administrative

⁹ Since the responsibility of providing the updated information lies with the users of Centrelink, some personal information may not be complete or regularly updated. Moreover, there is no requirement for individuals to update their information once they stop receiving benefits. 'TDS User guide' written by Centrelink (Wellman (2005)) contains more details on information available in TDS2.

 $^{^{10}}$ In certain circumstances, young adults (over 15 years of age) may receive an 'Independent Status' and be considered independent from their parents for the purposes of Centrelink payments receipt.

database (TDS2), as well as the number of births registered during the relevant months¹¹ and the Census 2006 results.¹² The 2006 Census data refer to the proportion of 263,222 18-year olds (excluding overseas visitors) located in Australia on the Census night of 8 August 2001. The applied proportion of 49.59 per cent is the number of births during October 1987 to March 1988 relative to the number of births which occurred during August 1987 to July 1988, as reported in ABS (2002 and 2003).

Table 1. Number of young people in TDS2 and the Australian population

	TDS2	Registered births	Census 2006ª
Born October-December 1987	62,801	59,863	-
Born January-March 1988 Total	64,999 127,800	61,844 121,707	130,532

Notes:

a The Census data show the proportion of all 18-year olds (excluding overseas visitors) located in Australia on the Census night of 8 August 2006. Since the Census data do not provide information on the month of birth, the applied proportion of 49.59 per cent is derived based on the proportion of births in the birth cohort months (October 1987 to March 1988) relative to births occurred during August 1987 to July 1988.

Sources:

ABS (2002 and 2003), Census 2006 (available from ABS website at http://www.abs.gov.au).

Table 1 demonstrates that the administrative dataset contains records on nearly all young adults belonging to the birth cohort. Neither of the two sources used for comparison provides up-to-date information, since they do not take into account migration and deaths. We anticipate that Table 1 will be updated as the results of Australian Census 2006 become available mid-2007.

3.3. Currency of contact information

Centrelink customers are required to provide their contact details when they first apply for payments and are required to inform Centrelink of any changes in their circumstances. However, since payments do not necessarily depend on the availability of current contact details (payments usually being made directly into specified bank accounts), customers may neglect to keep their records current. Moreover, individuals are not required to update personal records if they no longer receive any payment.

¹¹ See ABS (2002 and 2003).

¹² http://www.abs.gov.au/websitedbs/d3310114.nsf/Home/census

In view of this, individuals with short exposure to the income-support system which took place a long time ago may be more difficult to locate than those with more recent exposure. To compensate for this, such individuals were over-sampled (see Section 4). In addition, multiple approaches were employed to find missing contact details (detailed in Section 6).

4. Survey design

4.1. Data collection mode

The primary data collection mode is computer-assisted telephone interviewing (CATI). In addition to the questionnaire administered by telephone, the focal youths are asked to complete a self-completion questionnaire. Youth may choose to complete this on-line at a secure site or to complete a paper questionnaire which is returned by mail.

4.2. Interview frequency

Due to the longitudinal nature of the YIF project, the focal youth are expected to be interviewed at three waves, conducted approximately two years apart. Thus, it is anticipated that the main wave youth participants will be interviewed again in September-December of 2008 and 2010, and the pilot youth participants will be interviewed in March-April of 2008 and 2010.

4.3. Payment to respondents

Based upon a test of payment to respondents in the pilot (see Section 6), it was decided to pay a small monetary compensation to all participants. Each participant who completed the interview received a cheque for \$15. In the case of focal youth they had also to complete and return the self-completion questionnaire. It is important to note that the payment was not based upon responses to any particular question. In fact, a participant could agree to participate, but subsequently refuse to answer every question and the compensation would still be paid.

4.4. Sample stratification

The sample of focal youths and their parents/guardians was selected as a probability sample, stratified on the basis of the income-support history of the parent as described in Table 2.

The 'no parental income-support history' category combines those observational units where there is no parent record along with those (the vast majority) where the parent has received only Family Tax Benefit since 1993. Each focal youth will thus belong to one of six stratification categories shown in Table 2.

Table 2. Proportion of people across income-support^a stratification categories

Stratification category	Stratum code	Proportion in admin. dataset	Expected proportion in sample	Achieved proportion of youth	Achieved proportion of parents	Achieved proportion of matched obs units
No parental income-support history	A	40.9%	25.0%	25.2%	26.4%	29.0%
Heavy exposure to income-support programs (more than six total years on income support)	В	27.5%	34.9%	36.1%	35.7%	32.3%
First exposure to income-support system after 1998	С	8.5%	10.7%	12.9%	12.4%	12.4%
First exposure to income-support system between 1994 and 1998 and less than three total years on income support	D	8.5%	10.8%	10.3%	10.6%	11.2%
First exposure prior to 1994 and less than six total years on income support	E	9.5%	12.1%	9.9%	9.7%	9.6%
First exposure to income-support system between 1994 and 1998 and more than three but less than six total years on income						
support	F	5.1%	6.5%	5.7%	5.4%	5.6%

Notes:

a In this table, the term 'income support' refers to any kind of Centrelink benefits the parents may have received excluding family payments, Carer Allowance and maternity payments.

Table 2 shows that individuals belonging to the strata B to F have been over-sampled in comparison to the proportions observed in the administrative data. Individuals with heavy exposure to the income-support system were over-sampled since they have been found in other surveys to have lower response rates relative to the average for the population. Another over-sampled group is people who have had only a short and not recent exposure to the income-support system, because of the anticipated difficulty in locating them relative to those with more recent exposure (see Section 3.3).

As the survey is conducted over the phone, it was decided not to stratify by geographic location.

4.5. Sample size

We selected a sample of 13,568 youths for wave 1 with the objective of having 4,000 completed interviews from youth, 3,797 completed interviews from parents, and 2,240 completed interviews from observational units.

4.6. Expected sample size in future waves

We expect attrition rates of 15 per cent between waves 1 and 2 and 10 per cent between waves 2 and 3 for youth. We thus expect, to have 3,460 completed interviews from youth in wave 2, and 3,115 completed interviews in wave 3.

5. Survey instruments

Development of the survey instruments for wave 1 was an iterative process involving discussion between the project research team, FaCSIA staff, the TDS2 development team, external technical advisors and other interested agencies. The teams working on the questionnaires drew on existing international and domestic surveys as well as expert advice from a range of individuals, including Ms Nicole Watson and Professor Mark Wooden at the Melbourne Institute of Applied Economic and Social Research who have responsibilities for HILDA¹³ as well as Professor Bryan Rodgers and Dr Helen Berry of the National Centre for Epidemiology and Population Health at the ANU. Professor Peter Lynn of the Institute for Social and Economic Research at the University of Essex, UK, also provided helpful advice.

The survey instruments were put into operation with the help of Roy Morgan Research Pty Ltd, the market research firm contracted to carry out the fieldwork. This involved pre-testing the questionnaires with several paid participants in October 2005, intensive practice runs of the survey instruments by the research team via a telnet access system to the CATI questionnaire, and a full-scale pilot of wave 1

_

¹³ Living in Australia: The Household, Income and Labour Dynamics in Australia Survey; more information is available at http://www.melbourneinstitute.com/hilda/

of the survey in March-April 2006.

Three instruments were used in the YIF survey:

- Parent questionnaire which collected information on the parent's family, education, employment and income, relationship history, general values and health, as well as background information about the focal youth at early stages of life;
- Youth questionnaire which collected information on the focal youth's household and family, education, employment and job search, housing arrangements, income and health, as well as personality traits and life satisfaction;
- *Self-completion questionnaire for youth* which collected information on attitudes, substance abuse, relationships with parents and important life events.

The summary of topics covered by the survey instruments is provided in Appendix A. The full versions of the survey questionnaires are available from the research team upon request.

Where possible, wording from other Australian surveys was used in order to facilitate comparison of the data collected. Standard Australian Bureau of Statistics questions for items such as Indigenous status were employed. Questions relating to income and labour status were made similar to those in HILDA for comparability.

6. Fieldwork

6.1. Contracting of market research firm

A competitive tender process was undertaken to find a suitable organisation to undertake the fieldwork. It was anticipated that the chosen firm would assist in finalising survey instruments, designing and implementing a pre-test, and designing and implementing all waves of data gathering.

An information session was conducted at the Australian National University on 2 May 2005 for interested companies prior to the tender deadline. This was attended by representatives of six different companies. A phone information session was conducted for two companies which could not attend the information session.

Five proposals were received. From these, Roy Morgan Research (RMR) was selected as having the proposal which met the minimum requirements of the tender and which additionally offered the best value for money. The contract for provision of field services between RMR and the ANU was signed on 5 December 2005.

6.2. Pre-test

A small pre-test was conducted in October 2005 by RMR and the project research team with several paid participants to provide preliminary feedback on all survey instruments. As the main objective of the pre-test was to judge participants' reaction to the questions asked, their answers were not recorded.

6.3. Interviewer training

In order to familiarise interviewers with the survey instruments and provide them with the background information on the YIF project, interviewer information sessions, led by the RMR project manager for YIF, were run immediately prior to the pilot and the main wave of interviewing. The pre-pilot information session was held on 27 March 2005 and was attended, on the ANU side, by Drs Robert Breunig and Tue Gørgens. Another session was held on 15 August 2006, immediately before the main fieldwork for wave 1, and was attended by Dr Robert Breunig, Professor Cobb-Clark and Dr Anastasia Sartbayeva as well as the representatives of the FaCSIA Youth Bureau and the Research and Analysis Branch.

6.4. Wave 1 pilot

A pilot test was carried out between 27 March and 18 April 2006. The sample for the pilot consisted of observational units as described in Section 2.3, with the focal youths randomly selected from the pilot birth cohort.

To test the differences in response rates across stratification categories, the pilot sample contained observational units from the six strata in approximately equal proportions. In addition, to investigate the possibility of introducing respondent payments to encourage participation, each stratum was randomly divided into two halves, one of which was offered a \$15 compensation payment and the other was not (see also Section 6.14).

6.5. Wave 2 and wave 3 pilots

Given the longitudinal nature of this project, the focal youth respondents of the wave 1 pilot will be approached again for the pilots in waves 2 and 3.

6.6. Pilot opt-out process

After drawing the initial raw sample of about 1,400 observational units, Centrelink provided FaCSIA with their contact information, which included name of focal youth, name of parent, and for each of these individuals a phone number (where available) and address.

Before passing the sample to RMR, FaCSIA sent a primary approach letter and information brochure¹⁴ to each member of the observational unit. These materials contained sufficient information to enable people selected in the sample to reach an informed decision on whether or not they wished to participate in the survey.

Those who did not wish to participate were requested to call a 1800 number managed by FaCSIA to opt out of the survey. Survey respondents could also use this number for any queries about the survey. After the end of the opt-out period, the 1800 number was transferred to RMR who then took the responsibility for

¹⁴ The letter and information brochure can be viewed at http://youthinfocus.anu.edu.au/survey.htm

respondent liaison.

6.7. Privacy and confidentiality issues

The procedures for handling confidential data were set out in the YIF Project Ethics Protocol which was approved by the ANU Human Research Ethics Committee on 11 February 2005.

Due to the sensitive nature of the administrative data, all project personnel, including all interviewers and supervisors at RMR, have had to sign Deeds of Confidentiality relating to the treatment of personal contact and other information.

6.8. Wave 1 pilot outcomes

The information gathered in the pilot was used to judge the respondents' reaction to the questions, the effect of payment to respondents on response rates, and the accuracy of the CATI programming particularly as it related to questionnaire routing procedures. The full list of items tested in the pilot is available in Appendix B1.

After the interviews for the pilot were completed, RMR submitted a technical report on the outcome of the pilot study to the ANU. The report critically considered strategies and methodologies tested in the pilot study and made recommendations regarding a few proposed changes.

Analysis of the pilot data and the technical report resulted in the shortening of the parent questionnaire, correction of several glitches in the CATI programming, and a positive decision to use monetary compensation to improve the response rates. In addition, the response rates observed in the pilot (see Appendix B2) were used to decide on wave 1 stratification as explained in the next section.

6.9. Wave 1 sample

On the basis of the opt-out and response rates observed in the pilot test, the research team determined the number of observational units to be drawn in each stratification category for the raw sample.

As in the pilot test, the information provided for each observational unit included the names of the focal youth and the parent (if there was a parent), and for each of these individuals a phone number (where available) and address. The stratum to which each observation belongs was also indicated by a stratum label A to F (see Table 2). Strata information was not visible to interviewers.

6.10. Wave 1 opt-out process

As with the pilot study, FaCSIA sent a primary approach letter and information brochure¹⁵ to each member of the observational unit before the beginning of the wave

¹⁵ The letter and information brochure can be viewed at http://youthinfocus.anu.edu.au/survey.htm

1 interview period. The letter contained the invitation to participate in the survey and explained how to opt out. Since wave 1 and associated opt-out and interviewing activities were carried out over the period of 5 months (July to December 2006), it was decided that the sample for wave 1 should be drawn in four stages, each stage subsequently representing a separate mailout in the survey opt-out process. In order to minimise the time-span between the receipt of the primary approach letter and the first contact by RMR, the mailouts were conducted approximately 2 weeks apart (14 July, 28 July, 11 August and 1 September 2006) – the first three mailouts each containing 30 per cent of the total sample, and the last one containing 10 per cent. The opt-out process was then conducted separately for each mailout stage.

Overall, 13,568 young people born between 1 October 1987 and 31 March 1988 and their parent were drawn into the sample for wave 1 by Centrelink. As outlined in the previous section, the numbers of focal youth in each stratum of this sample were determined based on the pilot response and opt-out rates. The first five rows of Tables 3a and 3b contain the detailed information on the numbers of focal youth and parents in each mailout.

FaCSIA maintained a 1800 number throughout the duration of the opt-out period, so that recipients of the primary approach letters could contact FaCSIA to opt out or raise any queries about the survey. From the date the first mailout sample was provided to RMR, a second 1800 number was set up, staffed by the market research firm, to liaise with respondents.

As explained in Section 3.3, the accuracy of the mailing addresses of potential respondents could not be easily ensured, especially for those who have last received Centrelink benefits a significant time ago. Therefore, the intended recipients of all primary approach letters that were returned to FaCSIA marked 'return to sender' were treated as opt-outs and taken off the list of people whose contact details were provided to RMR.

RMR received a sample that contained 12,698 focal youths and 12,276 parents (for details, see Tables 3a and 3b). However, in some cases no phone number could be found for either member of the observational unit. In addition, a few people (11 focal youths and 11 parents) called FaCSIA to opt out after their opt-out deadline and were consequently removed from the sample by RMR. As a result of this 'cleaning' process, the final clean sample downloaded into CATI for interviewing included 11,757 focal youths and 11,588 parents (for details, see Tables 3a and 3b).

Table 3a. Wave 1 sample and mailout structure for focal youth

Stratification category	A	В	С	D	E	F	Total
Mailout 1	910	1,650	490	390	398	233	4,071
Mailout 2	910	1,650	490	390	398	233	4,071
Mailout 3	910	1,649	490	390	398	232	4,069
Mailout 4	303	550	163	130	133	78	1357
Total Centrelink sample (a)	3,033	5,499	1,633	1,300	1,327	776	13,568
Opt-out and return-to-sender (b)	259	320	96	91	61	43	870
% of the Centrelink sample	8.5%	5.8%	5.9%	7.0%	4.6%	5.5%	6.4%
Sample provided to RMR (a-b)	2,774	5,179	1,537	1,209	1,266	733	12,698
No phone number/late opt-out (c)	229	390	95	90	88	49	941
% of the RMR sample	8.3%	7.5%	6.2%	7.4%	7.0%	6.7%	7.4%
Clean sample (a-b-c)	2,545	4,789	1,442	1,119	1,178	684	11,757

Table 3b. Wave 1 sample and mailout structure for parents

Stratification category	A	В	С	D	Е	F	Total
Mailout 1	899	1,633	484	387	395	231	4,029
Mailout 2	901	1,634	488	388	397	233	4,041
Mailout 3	893	1,629	484	387	391	231	4,015
Mailout 4	300	544	160	127	132	76	1,339
Total Centrelink sample (a)	2,993	5,440	1,616	1,289	1,315	771	13,424
Opt-out and return-to-sender (b)	310	442	127	111	90	68	1,148
% of the Centrelink sample	10.4%	8.1%	7.9%	8.6%	6.8%	8.8%	8.6%
Sample provided to RMR (a-b)	2,683	4,998	1,489	1,178	1,225	703	12,276
No phone number/late opt-out (c)	167	294	63	65	68	31	688
% of the RMR sample	6.2%	5.9%	4.2%	5.5%	5.6%	4.4%	5.6%
Clean sample (a-b-c)	2,516	4,704	1,426	1,113	1,157	672	11,588

Although, as explained above, all individuals whose primary approach letter was sent back as 'return to sender' were removed from the sample, a certain number of respondents, when approached by the market research firm, could not remember receiving the survey invitation and brochure and requested that these materials be re-sent prior to the interview.

For these cases, RMR compiled weekly lists that were provided to FaCSIA, who then sent another copy of the primary approach letter and brochure to the participants' specified current addresses. Subsequently the responsibility for re-sending the letters

and brochures was transferred to RMR. In the last week of the telephone interviewing, due to time constraints, the respondents who requested another copy of the survey materials were offered the YIF survey website address where the brochure could be viewed and the opportunity to receive the paper copy after the interview. If the respondents did not agree to this arrangement, the interview was terminated and they were classified as survey refusals.

6.11. Wave 1 data gathering procedure

RMR made a substantial effort to contact each observational unit selected for the sample. This involved:

- Finding a telephone number through both automated and manual 'white pages' search using the respondent's last name;
- Finding a telephone number for individuals within an observational unit by asking the other observational unit member.

RMR, in collaboration with the research team, also developed protocol for declaring observational units or individuals within the observational units non-contactable:

- If no phone number was available for either member of the observational unit, and the white pages search was unsuccessful, the unit was dropped from the clean sample. Similarly for units consisting only of a focal youth where no phone number could be found.
- If only one member of the observational unit had a phone number and the automated white pages search for the other person was unsuccessful, the existing number was used to get into contact with the other member of the unit.
- Similarly, if only one member of the observational unit had a phone number and the number for the other person was found incorrect/invalid, then the valid number was used to get into contact with the other member of the unit.
- If both members of the unit had incorrect/invalid numbers, the automated white pages search for both names was run at periodic intervals.

Some observational units provided in the sample to RMR were out-of-scope for other reasons. An observational unit was considered to be out-of-scope if the focal youth was out-of-scope.

Any individual, a focal youth or a parent, was considered out-of-scope if the individual was:

- Deceased;
- Overseas with no prospect of return before the end of the survey (August 2008);
- Institutionalised with no prospect of release before the end of the survey.

Contact details for individuals in institutions (for example, those confined to a correctional facility or medical treatment facility) were kept and these individuals

were included in the sample. This should enable normal interviewing to resume if, and when, those individuals return to living in private dwellings. Similarly, while sample members overseas were not contacted, the contact details for individuals who moved overseas were maintained so that if they move back to Australia, they can be interviewed in subsequent waves.

RMR, in consultation with the research team, developed a protocol for converting contacts with individuals to successful interviews with the entire observational unit. This protocol included procedures for contacting people at different times and on different days of the week. If a contact resulted in the phone number being engaged, reaching an answering machine, or not answered, the procedure involved a callback at a different time on the next day. The individual was considered uncontactable after 10 consecutive callbacks that did not result in a contact.

Once any attempt was made to interview an individual in an observational unit, all individuals in that observational unit were followed through until either:

- The individual was successfully interviewed;
- The individual clearly refused;
- A sufficient number of callbacks have been made at different times and on different days to satisfy the research team that all reasonable means to contact and interview an individual have been made.16

6.12. Interview length and outcomes of self-completion questionnaire

In wave 1, all interviews were conducted over the telephone, using the computerassisted telephone interviewing (CATI). After completing the interview over the phone, the youth respondents were offered a choice of doing the self-completion questionnaire either on paper (to be sent by mail) or on-line at a secure website administered by RMR. Of all youth respondents, 73.4 per cent filled out selfcompletion questionnaires. Of those, 48 per cent were filled out on-line and 52 per cent on paper.

For wave 1 (first interview) telephone questionnaires, the average interview length was 30 minutes for a focal youth and 35 minutes for a parent. The time required for filling out the self-completion questionnaire, whether on paper or on-line, was estimated at 10 minutes.

¹⁶ Among potential respondents who were declared uncontactable with call outcomes recorded as

engaged, no reply, answering machine, or fax, 11.81 per cent of focal youth and 8.61 per cent of parents received less than 9 callbacks, and 4.21 per cent of youth and 5.45 per cent of parents received less than 5 callbacks.

6.13. Timeline

The fieldwork for wave 1 primarily occurred between 15 August 2006 and 16 December 2006. Self-completion questionnaires were accepted by RMR until 15 January 2007.

6.14. Payment to respondents

In order to test the effect of payment to respondents on response rates and characteristics of individual survey participants, the pilot for wave 1 was designed so that a randomly chosen half of respondents in each stratum would be offered a payment of \$15 by the market research firm, and the other half would not. The response rates were uniformly higher in the paid groups, especially among respondents who were heavily exposed to the income-support programs, and therefore the decision was made to offer the \$15 payment to all participants of the main wave.

The payments were mailed to respondents by RMR in the form of a cheque upon the completion of the telephone interview (parents) or upon the receipt of the self-completion questionnaire by the market research firm (focal youth).

6.15. Foreign language interviews

Due to the complex nature of the survey questions, it was decided that the interviews were to be conducted in the English language only. For the respondents who did not know English sufficiently well to participate, a separate code was created to record as a reason for the interview termination. Among parents, 410 interviews (3.54 per cent of the clean sample) were terminated for this reason, and among focal youth, the respective number of terminated interviews was 51 (0.43 per cent of the clean sample).

6.16. Refusal conversion and follow-up

The research team, government organisations involved and the market research firm engaged to undertake the survey ensured that the participants were given the fullest information about the project in order to convert as many refusals as possible. Particular care was taken to emphasise the academic research nature of the survey and the government support of the YIF project to encourage participation.

Due to the longitudinal nature of the survey, the focal youths are contacted several times between the waves in order to minimise attrition. The contacts are evenly spaced between waves and include a 'thank you' card, newsletter with a summary of the survey results, and a phone call closer to the next wave.

6.17. Interviewer monitoring and complaint handling protocol

The complaint handling protocol for the YIF survey was approved by the ANU Human Research Ethics Committee. In the case of complaints or queries not satisfactorily addressed by the interviewers, survey participants were referred to the interviewer supervisors, followed, if necessary, by the project manager at the market research firm or the designated FaCSIA representative.

6.18. Wave 1 contact outcomes

A summary of the outcomes of the wave 1 fieldwork is provided in Tables 4a and 4b.

Table 4a. Wave 1 focal youth outcomes

Stratification category	Α	В	C	D	E	F	Total
Per cent of complete							
surveys across strata	25.2%	36.1%	12.9%	10.3%	9.9%	5.7%	
Completes	1,027	1,472	526	420	404	231	4,079
% of clean strata sample	40.4%	30.7%	36.5%	37.5%	34.2%	33.8%	34.7%
Self-completion							
questionnaire:	840	1009	379	322	281	154	2985
online questionnaire	509	433	209	173	163	84	1571
mail questionnaire	331	576	170	149	118	70	1414
Refusals	586	1,017	323	256	278	137	2,597
% of clean strata sample	23.0%	21.2%	22.4%	22.9%	23.6%	20.0%	22.1%
Unable to participate ^a	103	185	61	30	45	24	448
% of clean strata sample	4.1%	3.9%	4.2%	2.7%	3.8%	3.5%	3.8%
Uncontactable ^b	631	1,480	378	297	339	213	3,338
% of clean strata sample	24.8%	30.9%	26.2%	26.5%	28.8%	31.1%	28.4%
Unobtainable ^c	198	635	154	116	113	79	1,295
% of clean strata sample	7.8%	13.3%	10.7%	10.4%	9.6%	11.6%	11.0%
TOTAL CLEAN SAMPLE	2,545	4,789	1,442	1,119	1,178	684	11,757

Notes:

a 'Unable to participate' category includes individuals who are out-of-scope (and observational units where the youth is out-of-scope), people who are unwell with no prospect of recovery until the end of the survey, are overseas or institutionalised with no prospect of return until the end of the survey, or do not speak English sufficiently well to participate.

b Individuals are declared 'uncontactable' if the number listed for them is a business number, if the named respondent is not at the number, or if, after a sufficient number of callbacks, the call outcomes on all callbacks are engaged, no reply, answering machine, fax or modem.

c Individuals are declared 'unobtainable' after a sufficient number of callbacks if the call outcomes on all callbacks are carrier messages like 'this number is disconnected', 'this number is uncontactable', or abnormal dialing tones that are not faxes or modems.

Table 4b. Wave 1 parent outcomes

Stratification category	A	В	С	D	E	F	Total
Completes	1,045	1,413	491	419	384	212	3,964
% of clean strata sample	41.5%	30.0%	34.4%	37.7%	33.2%	31.6%	34.2%
Refusals	741	1,281	428	350	376	212	3,388
% of clean strata sample	29.5%	27.2%	30.0%	31.5%	32.5%	31.6%	29.2%
Unable to participate ^a	106	329	122	44	57	31	689
% of clean strata sample	4.2%	7.0%	8.6%	4.0%	4.9%	4.6%	6.0%
Uncontactable ^b	366	899	221	178	211	125	2,000
% of clean strata sample	14.6%	19.1%	15.5%	16.0%	18.2%	18.6%	17.3%
Unobtainable ^c	258	782	164	122	129	92	1,547
% of clean strata sample	10.3%	16.6%	11.5%	11.0%	11.2%	13.7%	13.4%
TOTAL CLEAN SAMPLE	2,516	4,704	1,426	1,113	1,157	672	11,588

Notes:

See Table 4a.

6.19. Data linkage

After completing the telephone survey, the respondents were asked for their consent to link their survey answers to the administrative data. The overwhelming majority of respondents, both in the pilot and the main wave, had agreed to the linking. Table 5 below details percentages of respondents who consented to the data linkage.

Table 5. Respondents' consent to data linkage, per cent of total

Stratification category	A	В	С	D	E	F	Total
Pilot							
Focal youth	92.86	92.11	97.22	95.92	100.00	96.30	95.45
Parents	92.98	100.00	94.00	90.24	94.44	96.88	94.51
Main fieldwork							
Focal youth	97.47	95.45	95.44	96.19	96.77	96.10	96.20
Parents	93.49	91.15	91.85	93.08	94.53	92.45	92.46

6.20. Tracking and tracing

In order to minimise attrition between waves, both the pilot and the main wave respondents are tracked using several-step follow up procedure. It is planned that

the follow up of respondents will be done at six-monthly intervals. The timetable for the pilot keep-in-touch strategy is as follows:

Wave 1 pilot March-April 2006 Mailout of 'Thank you' card July 17, 2006

Mailout of Newsletter December 11, 2006

Phone contact October 2007
Wave 2 pilot (tentative) March-April 2008

The proposed timetable for the wave 1 keep-in-touch strategy is as follows:

Wave 1 fieldwork August-December 2006

Mailout of 'Thank you' card May-June 2007

Phone contact September-October 2007

Mailout of Newsletter March-April 2008

Wave 2 fieldwork September-December 2008

6.21. Waves 2 and 3

Wave 2 and 3 are currently planned to be conducted approximately two years apart. Pre-tests and pilots will precede the main fieldwork similarly to wave 1.

For all focal youths who were interviewed in wave 1, interviews at waves 2 and 3 will again be conducted by telephone. Those individuals who agree to do the survey will also be provided with the self-completion questionnaire to fill out either on-line or on paper.

Individuals (focal youth and parents) who are not interviewed at wave 1 will be interviewed at the first wave in which they enter the sample using the wave 1 questionnaire.

7. Survey dataset

7.1. Variable naming conventions

The opening variables in each dataset provide summary information on the respondent and the interview. Table 6 provides a list of these variables.

Table 6. Survey dataset introductory variable description

Variable name	Explanation
CentrelinkID	Random identification number assigned by Centrelink; postfixed by 0 for parent and 1 for focal youth
iiin	Permutation of the CentrelinkID; an integer variable that is the same for parent and focal youth belonging to the same observational unit
Surveyid	String version of the iiin variable
iisn	Survey number; identifies surveys of parents and focal youth, main vs. pilot stages of each survey wave, also identifies payment and non-payment respondent groups for wave 1 pilot
Economic	Stratification category (A to F) identifier
Pbmonth	(Parent survey only) Parent month of birth
Pbyear	(Parent survey only) Parent year of birth
Home_state	Home state of respondent
Home_post	Postcode of respondent
Ccount	Call count; total number of calls made to the respondent's telephone number
Avint	Time of the telephone interview
Totaltime	Total call time; time of all telephone calls made to the respondent
scr1a (youth), screx (parent)	Asks whether the respondent recalls receiving the survey invitation and information brochure
scr1, scr2	Asks whether the respondent is willing to conduct the telephone interview and/or arranges suitable appointment

The rest of the variables in the survey datasets are named according to the following naming scheme: wave/questionnaire identifier, section identifier, question number.

Wave/questionnaire identifier is represented by a single letter 'a' through 'z' and corresponds to:

- a parent telephone questionnaire, wave 1 pilot;
- b youth telephone questionnaire, wave 1 pilot;
- c youth self-completion questionnaire, wave 1 pilot;
- d parent telephone questionnaire, wave 1;
- e youth telephone questionnaire, wave 1;
- f youth self-completion questionnaire, wave 1.

The wave/questionnaire identifier is followed by a section identifier which is a single letter 'a' through 'z'. The question number follows as it appears in questionnaire, i.e. '24a'. If the question is repeated several times (e.g., for different members of household) a '_#' is added to indicate which time it appears.

So for example, the question

J22: Do you only pay, only receive OR both pay AND receive any financial support for this child, excluding any money from the government?

in the youth telephone questionnaire for wave 1 would have a variable name ej22_1 when asked for the first child, ej22_2 when asked for the second child, etc.

The data file is rectangular. In other words, the maximum number of variables are created for each respondent and are coded missing for anyone of whom the question was not asked. For example, the maximum number of children the focal youth may have was set at 8, thus ej22_1 to ej22_8 exist for each observation even though the data would be largely missing for individuals with few or no children.

7.2. Labeling

The variables are labelled in the following way:

'Question #: text'

These labels have a limit of 80 characters including the question number, colon and spaces. If the question is more than 80 characters, it is abbreviated or shortened in a way that keeps the question identifiable. So for the above example, the label is

'ej22_1: do you pay, receive or both any financial support for this child?'

7.3. Survey datafiles characteristics

For each stage of the survey, RMR provided to the ANU two computer files, the first of which contains parent CATI survey responses and the second, focal youth CATI and self-completion questionnaire responses. Thus, after the completion of the pilot and main fieldwork for wave 1, the ANU has four survey datafiles. Table 7 outlines the existing datafiles and the numbers of variables and records available in each. Note that for the focal youth who completed the telephone interview but not the self-completion questionnaire, the variables corresponding to the self-completion questionnaire in the focal youth datafiles would contain missing data.

Table 7. Survey datafiles characteristics

Dataset	No. of variables	No. of records
Parent wave 1 pilot datafile	573	255
Focal youth wave 1 pilot datafile (both telephone and self-completion surveys)	699	242
Parent wave 1 datafile	590	3,964
Focal youth wave 1 datafile (both telephone and self-completion surveys)	785	4,079

Appendix A. YIF questionnaires summary

A1. Parent questionnaire

Identification

- Date of birth, gender
- State and postcode
- Relationship to focal youth
- Marital status

Section A – Household information

• For each person living with: age, gender, relationship to respondent, identifier for the other natural parent of the focal youth

Section B - Personal information

- Country of birth (COB)
- If COB not Australia:
 - o Date of first arrival in Australia
 - o Language spoken in the family while growing up
 - o English knowledge
- Aboriginal/Torres Strait Islander status
- Respondent's parents' occupations and family holidays at respondent's age 14

Section D – Education and employment

- Highest year of school completed and the type of school
- Highest educational degree attained
- Employment status and number of jobs
- Hours and earning in all jobs / main job
- Contract and salary arrangements
- Occupation
- Job search and main activity (if not employed)

Section E – Income from other sources

• Combined own and partner's income for 2005-2006 from wages/salary, own business, workers' compensation, interest or dividends, rental property etc.

Section F – Children and relationships

If respondent is a natural parent of focal youth:

- Total number of children
- Number of marriages/de facto relationships
- For each marriage/relationship:
 - o Date of start / date when started living together
 - o Reason and date of ending
 - o Whether spouse/partner is the other natural parent
- For the other natural parent:
 - o Country of birth
 - o Education and employment
 - o Amount of contact with respondent regarding the focal youth

If respondent is not a natural parent of focal youth, the following is asked about each natural parent:

- Relationship to respondent
- Country of birth
- Education and employment
- Date when stopped living with focal youth

Section G - Questions about Focal Youth's education and youth

- Focal youth's general performance in school and participation in extra-curricular activities
- Respondents' participation in parent committees
- Remedial courses attended by focal youth
- Current enrolment status of focal youth
- Focal youth's health: Asthma/ADHD/Depression diagnosis, disabilities, hospital visits, weight problems
- Date and reason focal youth moved out of parent's/guardian's house
- Type of accommodation focal youth lives in now
- Amount of respondent's contact with focal youth and satisfaction with focal youth's environment
- Quality of respondent's relationship with focal youth
- Financial help to focal youth
- Teenager difficulties

Section H - General questions

- Attitudes on unemployment benefits
- Importance of own and parental education, ambition and job for success in life
- Locus of control questions

Section I - Health

- Overall rating of own health
- Smoking and drinking habits
- Asthma/depression/disability diagnosis; other health problems
- Height and weight

Section Y – Tracking information

A2. Youth questionnaire

Section A – Identification

- Post code, state
- Date of birth
- Gender

Section B – Personal background

- Aboriginal/Torres Strait Islander status
- Country of birth (COB)
- If COB not Australia:
 - o Date of first arrival in Australia

o Language spoken in the family while growing up

Section C - Current Household

- Marital/de facto status; gender and age of partner
- Living in large group/share accommodation
- Number of family members focal youth currently lives with and their age, gender and relationship to focal youth
- Living independently or with parents/guardians/parental figure; if lives independently date and reason for moving out of the parents' house

Section D – Childhood living arrangements

- Full/single parent/no parent family incidence
- Number of houses/apartments lived in

Section E – Family background

- Mother's and father's country of birth
- Whether lived with either or both parents at age 14
- Employment status of each parent (parent substitute) at focal youth's age 14
- Parental education attainment (school and higher certificates/degrees)
- Quality of relationship with parents

Section F - School

- School enrolment status: year, type of school; overall number of schools attended
- Plans for completing school and continuing education
- Assessment of own performance at school: overall, mathematics, English
- Reasons for leaving school early
- Certificates attained as a result of studies

Section G – Post School student status and highest qualification

- Post-school studies: qualification, full-/part-time status, certificates
- Plans for completing higher degrees

Section H – Employment and earnings

- Employment status (past/present)
- Number of jobs
- Hours and earning in all jobs / main job
- Contract and salary arrangements
- Occupation and career
- First job history: age and hours

Section I – Job search and main activity

- Job search methods and length
- Main activity if not in school, employed, or looking for work

Section J – Family formation

- Date of start of current and first marriage/de facto relationship
- Number of marriages/de facto relationships
- Partner's education and employment status
- Partner's hours and earning in all jobs / main job
- Children: date of birth, living arrangements for the child, money paid/received for child support (other than government)

Section K - Housing and income

- Housing arrangements (renting/own)
- Rent/mortgage payment amounts
- Combined own and partner's income for 2005-2006 from wages/salary, own business, workers' compensation, investment etc.
- Financial help from parents

Section L – Health

- Overall health and whether it provides any limitations to work
- Effect of emotional problems on daily activities
- Emotional health in the past 4 weeks
- Presence of Asthma/ADHD/Depression diagnosis
- Smoking (yes/no)
- Height and weight

Section M – Attitudes

- Attitudes on unemployment benefits
- Importance of own and parental education, ambition and job for success in life

Section N - Tracking questions and SCQ

A3. Youth self-completion questionnaire

Lifestyle and health

- Leisure and recreation activities
- Locus of control questions
- Physical activity
- Smoking and drinking habits
- Use of marijuana

Family and friends

• Quality of relationship with each parent/substitute

Education values

• Own, friends' and parents' attitudes to education

Life events and childhood

- Possible traumatic events that happened to focal youth
- Teenager problems and contact with authorities
- Relationship with parents during childhood
- Overall rating of childhood

Appendix B. Additional information on wave 1 pilot

B1. Items tested in pilot

Survey respondent reaction to the primary approach letter and information leaflet.

For all of the survey instruments:

- Average interview length of the survey instruments;
- Routing procedures in the survey instruments so that all survey respondents were asked the questions appropriate to them;
- The response categories for scalar questions so that survey respondents were distributed across categories;
- Identify questions which generated high item non-response rates;
- Identify questions posing any other specific problem for survey respondents;
- Identify categorical questions which generated a large number of 'other' responses;
- Whether or not useful information was being obtained from open-ended questions;
- Any other survey instrument problems or issues.

Procedures for obtaining survey respondent consent to linking Centrelink administrative records with the survey data of the individual.

Procedures for contacting and interviewing individuals in observational units:

- Best methods to contact individuals;
- Proportion of invalid phone numbers;
- The result of all attempts to contact sample individuals and to track down individuals for whom phone number information was incorrect;
- Percentage of the provided sample that appeared to be uncontactable;
- The number of focal youth-parent interviews which were conducted on one and two different phone numbers;
- The number of observational units where complete responses were obtained from both individuals:
- The number of observational units where it was not possible to interview both individuals in the observational unit, the number of individuals who could not be interviewed, and the reason why they could not be interviewed;
- Compare the impact on response rates of offering a payment for participation.

The pilot study was also used to develop and test fieldwork manuals for the use of supervisors and interviewers.

Data coding and provision:

- Check that coding protocols do not produce any out-of-range codes;
- Test procedure and format for supplying data to the University.

B2. Pilot response rates

Table B2 below provides summary information on the strata-specific response rates for focal youth and parents interviewed during the wave 1 pilot. The table also outlines differences in response rates between the groups that were offered monetary compensation for participating in the survey and the groups that were not.

The response rates are calculated as a proportion of the clean sample. The clean sample is the original Centrelink sample minus those who chose to opt-out, those whose primary approach letter was returned to sender, observational units that consisted of parents without youth, and observational units for which no contact number could be found. Another procedure specific to the wave 1 pilot was that not everybody who agreed to participate in the survey was actually interviewed. The target numbers of interviews for both parents and youth (around 250) were reached before the entire pilot sample was exhausted, therefore, in order to more accurately assess response rates, RMR continued to approach individuals and record their refusal or consent to participate but did not actually interview them. The 'Completes' lines in Table B2 refer to the individuals who actually completed the interview as well as those who only agreed to be interviewed.

Table B2. Pilot response rates

Stratification category	A	В	С	D	E	F	Total
Focal youth survey							
Paid respondents							
Clean sample size	97	97	99	102	97	101	593
Completes	39	32	36	45	45	39	236
Response rate	40.2%	33.0%	36.4%	44.1%	46.4%	38.6%	39.8%
Unpaid respondents							
Clean sample size	97	104	104	95	94	103	597
Completes	39	32	41	34	27	24	197
Response rate	40.2%	30.8%	39.4%	35.8%	28.7%	23.3%	33.0%
Difference in response rate							
with respondent payment	0.0%	2.2%	-3.1%	8.3%	17.7%	15.3%	6.8%
Overall youth response rate	40.2%	31.8%	37.9%	40.1%	37.7%	30.9%	36.4%
Parent survey							
Paid respondents							
Clean sample size	93	91	103	97	99	98	581
Completes	36	31	38	40	45	34	224
Response rate	38.7%	34.1%	36.9%	41.2%	45.5%	34.7%	38.6%
Unpaid respondents							
Clean sample size	89	100	102	100	96	102	589
Completes	34	21	40	26	31	38	190
Response rate	38.2%	21.0%	39.2%	26.0%	32.3%	37.3%	32.3%
Difference in response rate							
with respondent payment	0.5%	13.1%	-2.3%	15.2%	13.2%	-2.6%	6.3%
Overall parent response rate	38.5%	27.2%	38.0%	33.5%	39.0%	36.0%	35.4%

Bibliography

- An, Chong-Bum, Donna Ginther, Robert Haveman, and Barbara Wolfe, 1996. 'The 'Window Problem' in Studies of Children's Attainments: A Methodological Exploration', *Journal of the American Statistical Association*, 91, pp. 970-82.
- Australian Bureau of Statistics (ABS), 2002. *Births, Australia*, 2002 http://www.abs.gov.au/AUSSTATS/abs@.nsf/DetailsPage/3301.02002?OpenDocument
- -----, 2003. *Births, Australia*, 2003 http://www.abs.gov.au/AUSSTATS/abs@.nsf/DetailsPage/3301.02003?OpenDoc ument
- Centrelink, 2007. A guide to Australian Government Payments http://www.centrelink.gov.au/internet/internet.nsf/filestores/co029_0702/\$file/co029_0702en.pdf
- Gottschalk, Peter, 1996. 'Is the Correlation in Welfare Participation Across Generations Spurious?', *Journal of Public Economics*, 63, pp. 1-25.
- Haveman, Robert and Barbara Wolfe, 1995. 'The Determinants of Children's Attainments: A Review of Methods and Findings', *Journal of Economic Literature*, 33(4), December, pp. 1829-78.
- Haveman, Robert, Gary Sandelfur, Barbara Wolfe, and Andrea Voyer, 2001. 'Inequality of Family and Community Characteristics in Relation to Children's Attainments: A Review of Trends in Levels and Inequality in Characteristics and Attainments and Estimated Relationships between Them', University of Wisconsin, unpublished working paper.
- Israel, Mark and Michael Seeborg, 1998. 'The Impact of Youth Characteristics and Experiences on Transitions Out of Poverty', *Journal of Socio-Economics*, 27(6), pp. 753-76.
- McCoull, Frances and Jocelyn Pech, 2000. 'Trans-generational income support dependence in Australia: early evidence' in P Saunders (ed) *Reforming the Australian welfare state*, Australian Institute of Family Studies, Melbourne, pp. 91-111.
- Pech, Jocelyn and Frances McCoull, 1998. 'Transgenerational poverty and income support dependence in Australia: work in progress', *Social Security Journal*, 1998(2), pp. 167-82.
- ----, 2000. 'Transgenerational welfare dependence: myths and realities', Australian

- Social Policy, 2000(1), pp. 43-68.
- Solon, Gary, Mary Corcoran, Roger Gordon, and Deborah Laren, 1991. 'A Longitudinal Analysis of Sibling Correlations in Economic', *The Journal of Human Resources*, 26(3), pp. 509-34.
- Wellman, Ray, 2005. 'Transgenerational Data Set: User documentation', version 1.0, 16/11/2005, Customer Data Services, Data Management Branch, FaCSIA.